

Practical learnings from recent enforcement cases

Les Cotils – 17 March 2022
2 sessions available 9 – 11:30 or 1:30 – 4
£200 per session and 2 hours CPD

Join us for an interactive discussion on the key practical learnings that can be gleaned from the recent enforcement cases in Guernsey and Jersey.

We will look at public statements to identify what actions you can take and what measures you can apply in your role and in your firm.

Who should attend?

The course is relevant for;

- Board members including Non Executive Directors
- All persons holding a supervised role with the GFSC
- Compliance and Financial Crime Team
- Anyone wanting to understand the most recent failings by regulated firms and persons

Numbers are restricted to 15 per session to encourage open discussions amongst all participants.

To reserve your space please email cathy@advisoryservices.gg.

Facilitators



Mel Torode

Mel has worked in the Guernsey finance industry for over 20 years and has held the roles of business founder and director, Operations Director, Managing Director and currently holds a portfolio of roles as an independent Non-Executive Director.

Cathy Lloyd

Cathy has worked in Financial Services for 30+ years in first and second line roles with experience in the Banking, Fiduciary and Investment industry.

She has held all the supervised roles with the GFSC and held an approved role with the FCA and been through several regulatory visits with various regulators.

Advisory Services (Guernsey) Ltd is a new consultancy company who provide personal, practical and commercially focussed services and solutions to financial services business in the Channel Islands.

We also offer tailored in house training programmes and please contact us for further details.

Booking Form

Practical learnings from recent enforcement cases
Les Cotils – 17th March 2021

Please indicate which session you wish to attend

9:00 – 11:30		1:30 – 4:00	
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Name :	
Company :	
Email address :	
Contact telephone number :	

Payment : £200 per attendee. 10% discount for GACO members.

Bank transfer : Payment can be made direct to Advisory Services (Guernsey) Ltd.

Sort code : 40-22-25

Account number : 04668520.

Please quote the attendee name in the reference.

Invoice : Please note all invoices must be paid before the course or by agreed arrangement. Please provide contact details for the invoice if different from the above attendee.

TERMS & CONDITIONS

Registration: Please complete this registration form and return it to cathy@advisoryservices.gg. We will e-mail confirmation to you within 48 hours of receipt. Please let us know if you do not receive an e-mail confirmation. Cost: £200. GACO members receive a 10% discount: Please indicate if you/your firm is a GACO member:

Cancellation: All cancellations must be made by e-mail to cathy@advisoryservices.gg. A fee of 100% will be levied in respect of any cancellation made less than 14 days prior to the date of the course. A fee of 50% will be levied for cancellations made between 21 and 14 days prior to the date of the course.

If restrictions do not allow the course to take place in person it will be facilitated over Teams.

Special Requirements: If you have any special requirements or require any special assistance, please inform us at the time of submitting this registration form.

CPD certificates will be provided on request immediately following the course