



Anti Money Laundering Professionals Forum



The AMLP Forum requests the pleasure of your company at its...

15th Annual AML & Financial Crime Seminar

Updates from HMT, Law Commission, JMLSG, Companies House, ICO Jersey, regulators, law enforcement and leading industry experts – all you need to know for 2019!

Who should attend?

Professionals responsible for money laundering prevention, financial crime & counter terrorist financing from:

- All parts of the financial sector
- Accountants
- Gambling sector
- Government
- High value dealers
- Insurance sector
- Law enforcement
- Law firms
- Money service businesses
- Real estate agencies
- Regulators
- Trust and company service providers.

Drinks Reception

26 March 2019, 17:30-20:00

The seminar will be followed by a drinks reception, an opportunity for you to network with peers.

The Salt Point Bar
5 Exchange Square
London EC2A 2EH

Main Seminar

26 March 2019
09:00 – 17:00

Pre-Seminar Masterclass

25 March 2019
09:00 – 17:00

Host: Herbert Smith Freehills
Exchange House, Exchange Square
Primrose Street
London, EC2A 2HS

Confirmed speakers include:

- Carol Smit**, Executive Secretary, JMLSG
- Chris Thomas**, Group Head of Financial Crime, Prudential
- David Ormerod QC**, Law Commission
- David McLean**, Head of Enforcement and Engagement & Joint Deputy Head of OFSI, HMT
- Giles Thomson**, Deputy Director, Sanctions and Illicit Finance, HM Treasury
- Iqbal Azad**, DS, Cybercrime Unit, Metropolitan Police
- Dr. Jay Fedorak**, Office of the Information Commissioner, Jersey
- Kevin Newe**, Assistant Director, Financial Investigations, HMRC
- Kristin Jones**, Head of the Specialist Fraud Division (Chief Crown Prosecutor), Crown Prosecution Service
- Lee Robins**, Head of People with Significant Control (PSC) Compliance, Companies House Public Register
- Matthew Bardsley**, Managing Director, Financial Crime, Barclays Bank
- Matthew Ekberg**, Senior Policy Advisor, Regulatory Affairs FinTech/RegTech Institute of International Finance IIF UK
- Peter Drake**, Director, EMEA Head, AML Compliance Risk Management, Citigroup ICG
- Russell Hornsby-Clifton**, Head of Financial Crime Compliance, EFG Private Bank
- Sarah Wheeler**, Head of the Office for Professional Body AML Supervision OPBAS FCA (TBC)
- Sophie Falcon**, Integrity and Law Manager, Technical Strategy Department, ICAEW
- Steve Hyndman** Global Director of Financial Crime and IT Risk, Aviva Asset Managers
- Susannah Cogman**, Partner & MLRO, Herbert Smith Freehills
- Dr. Stephen Strickland**, Director Anti Financial Crime. Regional Head of Financial Crime Investigations, Deutsche Bank
- Tim Wright**, SARs Reform Lead, NCA, UK Financial Intelligence Unit



Post-seminar masterclasses (each stream bookable separately), Monday 25 March 2019

STREAM A

09:00 – 10:30 Financial sanctions compliance 2019 – addressing new dynamic challenges

11:00 – 12:30 Iran sanctions on both sides of the Atlantic

STREAM B

13:30 – 15:00 Lessons learnt from latest AML/CTF enforcement cases

15:30 – 17:00 SARs reporting – evolving approach and increasing value

Main seminar – Tuesday, 26 March 2019, 09:00 - 17:00

08:30 *Registration & Breakfast*

09:00 *Chair's Welcome Address*

09:15 HMT AML/CTF strategies update

- FATF Mutual Evaluation of the UK – follow up on the recommendations
- Transposition of the 5MLD & the 6MLD proposal
- Strengthening the AML supervisory regime
- Onshoring UK AML/CTF & financial sanctions legislation for Brexit

Giles Thomson, Deputy Director, Sanctions and Illicit Finance, HM Treasury

09:45 The Law Commission the SARs Reform regime – the final recommendations

David Ormerod QC, Law Commission

10:30 *Morning Tea/Coffee*

11:00 The supervision priorities and key risks for firms FCA - TBC

11:45 New AML/CTF & financial crime challenges – how to strengthen your defences

- The drivers behind the threats in 2019
- JMLSG – current issues and additional guidance
- Moving beyond just compliance – the role of culture, governance and accountability
- Big data, digitalisation, RegTech and AI
- Managing risks arising from change in a complex world

Carol Smit, Executive Secretary, JMLSG

Matthew Bardsley, Managing Director, Financial Crime, Barclays Bank

Matthew Ekberg, Senior Policy Advisor, Regulatory Affairs FinTech/RegTech, IIF UK

Steve Hyndman Global Director of Financial Crime and IT Risk, Aviva Asset Managers

12:45 OFSI financial sanctions and implementation – expectations of the industry

- OFSI priorities 2019
- Topical issues including Iran and Russian sanctions
- Doing business in Iran: trade and export guide
- Enforcement and engagement – key points for the industry

David McLean, Head of Enforcement and Engagement & Joint Deputy Head of OFSI, HMT

13:15 *Lunch*

14:00 Data privacy, transparency & public registers onshore and offshore

Lee Robins, Head of People with Significant Control PSC Compliance, Companies House Public Register

Dr. Jay Fedorak, Office of the Information Commissioner, Jersey

14:30 *Breakout session* Risk management – the vulnerabilities and new AML/CTF approaches

Peter Drake, EMEA Head, AML Compliance Risk Management, Citigroup TTS CCB

Pete Dougherty, until recently Head of AML/CTF EMEA, State Street

Russell Hornsby-Clifton, Head of Financial Crime Compliance, EFG Private Bank

14:30 *Breakout session* Tax evasion, avoidance and compliance – how much is enough

Alex Brenig-Jones, Head of Anti-Tax Evasion, Financial Crime Risk, RBS

Sophie Falcon, Integrity and Law Manager, Technical Strategy Department, ICAEW

14:30 *Breakout session* Insurance hotspot: The financial crime & sanctions risks - rising compliance standards

Chris Thomas, Group Head of Financial Crime, Prudential

Lloyds of London - TBC

15:15 *Afternoon Tea/Coffee*

15:45 Cybercrime & cryptocurrencies - keeping up with the new scams

Iqbal Azad, DS, Cybercrime Unit, Metropolitan Police

16:15 The ML, fraud & corruption threats – stepping up the efforts in the fight against financial crime

Kevin Newe, Assistant Director, Illicit Finances Policy & Strategy, HMRC

Dr. Stephen Strickland, Director, Regional Head of Financial Crime Operations & Intelligence, Deutsche Bank

Kristin Jones, Head of the Specialist Fraud Division (Chief Crown Prosecutor), CPS
NCA - TBC

16:55 *Chair's Closing Remarks*

17:00 *Seminar Close & Drinks Reception*

15th Annual UK AML & Financial Crime Seminar

About Us

The AMLP Forum is an association of AML/CTF, financial crime, and economic sanctions professionals from the financial, insurance, MSBs, gambling, extractive industries, aerospace and defence sectors.

For more information, please visit our website on: www.amlpforum.com

15th Annual UK AML & Financial Crime Seminar

This Seminar is a cross-industry event bringing together leading stakeholders to look at the latest strategies and solutions and their future development. It represents an excellent opportunity for busy anti-money laundering professionals to get their key updates and answers from relevant high level experts in a one-day session.

Format

The seminar will be a mixture of lively lecture-style presentations and interactive sessions.

CPD points

A certificate of attendance will be provided on request if you need to claim CPD points for the **ICA, Solicitors' Regulation Authority and Bar Standards Board** (6.5 for the seminar and 3 for each masterclass)

Seminar Fees

Tuesday, 26th March 2019, 09:00 – 17:00

- **Member fee:** GBP £398.00 +VAT
- **Non-member fee:** GBP £498.00 +VAT

Your delegate place includes: a full pack of presentations by our leading experts, breakfast, lunch, refreshments and invitation to the drinks reception.

Masterclass Fees

Monday, 25th March 2019

STREAM A - 09:00 – 12:30 (Breakfast will be served from 8:15)

09:00 – 10:30: **Financial sanctions compliance 2019 – addressing new dynamic challenges**

11:00 – 12:30: **Iran sanctions on both sides of the Atlantic**

- **Member fee:** GBP £247.00 +VAT
- **Non-member fee:** GBP £288.00 +VAT

STREAM B - 13:30 – 17:00 (Lunch will be served from 12:30)

13:30 – 15:00: **Lessons learnt from latest AML/CTF enforcement cases**

15:30 – 17:00: **SARs reporting & new law enforcement orders – developing an effective response**

- **Member fee:** GBP £247.00 +VAT
- **Non-member fee:** GBP £287.00 +VAT

Your delegate place includes: presentations on memory sticks and working material handouts, breakfast, lunch and refreshments.

Discount offers

- Member fee applies for Academic, Government and Charity delegates
- Bring 2 or more delegates – receive a 10% discount
- Book both the conference and masterclass – receive a 10% discount

Discount offers cannot be combined

Registration

To book your place, please email Lucia and the team at events@amlpforum.com. Alternatively, you can contact AMLP Team on +44 (0) 208 785 6300 or visit the AMLP Forum website on: www.amlpforum.com for more information.

*AMLP Forum wishes to thank
Herbert Smith Freehills LLP for providing the Seminar facilities*