



Who should attend?

Professionals responsible for money laundering prevention, financial crime & counter terrorist financing from:

- All parts of the financial sector
- Gambling sector
- Insurance
- Money service businesses
- Law enforcement
- Law firms
- Oil, gas and extractive industries
- Regulators
- Government institutions

CPD Points: A certificate of attendance is provided on request

First Night Dinner

26 Oct 15, 19:30-21:00

Courtesy of



BUREAU VAN DIJK

First Night Drinks Reception

26 Oct 15, 18:00- 19:30

Courtesy of



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The AMLP Forum requests the pleasure of your company at its...

9th Annual European AML & Financial Crime Conference

Essential updates from World Bank, EC, EBA, EBF, EU Data Protection Supervisor, Europol, US IRS, regulators, FIUs and leading cross-industry experts – all you need to know!

26 – 27 October 2015

Merchant Taylors' Hall
30 Threadneedle Street
London EC2R 8JB

Confirmed speakers include:

- **Alvaro Pinilla**, Head International Division SEPBLAC (Spanish FIU)
- **Andrew Le Brun**, Director, Financial Crime Policy, Jersey Financial Services Commission
- **Barry Koch**, SVP, Chief Compliance Officer, Western Union
- **Carolyn Gardner**, AML Policy Expert, European Banking Authority
- **Chris Bostock**, Operation Manager, NCA's Economic Crime Command Unit
- **Daniel Thelesklaf**, Director, FIU Liechtenstein & Chair of the Egmont Training
- **David Hotte**, AML/CFT project Team Leader, CIVIPOL, European Commission
- **Emile van der Does de Villebois**, Senior Financial Sector Specialist, Financial Market Integrity, Finance and Private Sector Development, World Bank
- **Fabio Polverino**, Senior Legal Officer, EU Data Protection Supervisor
- **Geraldine Lawlor**, Global Head of Financial Crime, Barclays Bank
- **Jan Bjorkman**, Group AML Officer, SEB Bank
- **Jean-Marc Guitau**, Global Head of Compliance, Permanent Control and Operational Risk, BNP Paribas Securities
- **John Beccia**, General Counsel and Chief Compliance Officer, Circle Bitcoin
- **Keith Falconer**, Head of Risk & Compliance, Standard Life Investments
- **Mark Ashdown**, Compliance Director, MoneyGram
- **Markus Schulz**, Global Head FCC Controls, Group Financial Crime Compliance, Standard Chartered Bank
- **Matthew Gamble**, Senior Legal Counsel - Financial Services Regulations Bureau, Abu Dhabi Global Market
- **Michael Mrak**, Head of Department of Corporate Governance Coordination, Compliance, Casino Austria
- **Mirka Gastaldello**, Head of Compliance London, MLRO, Rabobank International
- **Nick Maxwell**, Head of Research for Transparency International UK
- **Phil Brewer**, DCI, Trafficking & Kidnap Unit, Metropolitan Police
- **Sébastien de Brouwer**, Head of Department, Legal, Economic and Social Affairs, European Banking Federation
- **Simon Wilkin**, Head of Financial Crime Group, MLRO, Sumitomo Mitsui Banking
- **Tamara Schotte**, Project Manager Strategic Analysis, Serious and Organised Crime Department, Europol
- **Valerie-Leila Jaber**, Director Global Financial Crime Compliance Head for Asset Management, JP Morgan Chase US

Day 1 – Monday, 26 October 2015

08:15 *Registration and Breakfast - courtesy of Silver Sponsor NICEActimize: [click here](#)*

Pre-Conference Masterclasses (each steam bookable separately)

09:00 *Stream A – Mitigating the ML/TF and sanctions risks of trade finance*
Stream B - Tax compliance - implementing the OECD Common Reporting Standards

11:00 *Stream A – Monitoring and investigations: managing multiple agendas – evolving best practice*
StreamB - Building up an effective risk assessment under the risk-based approach

Main Conference

12:00 *Registration and Light Lunch - courtesy of Gold Sponsor Oracle: [click here](#)*

13:00 *Chair's Welcome Address*

13:15 **The global ML & financial crime risk map – addressing the new vulnerabilities**
Emile van der Does de Villebois, Senior Financial Sector Specialist, Financial Market Integrity, World Bank
David Hotte, AML/CFT Team Leader, CIVIPOL, European Commission

14:15 **Implementing the new EU AML/CTF framework – a focus on the 4th EU ML Directive, new EU Data Protection Regulation, compliance costs & risk tolerance**
Sébastien de Brouwer, Head of Department, Legal, Economic & Social Affairs, EBF

14:50 **Promoting an effective & consistent application of the 4th EU ML Directive across the EU**

- EBA on its new role under the 4MLD – producing binding guidelines on including group compliance, SDD/EDD, risk-based approach, e-money & new Fund Transfers Regulation (drafts expected in Q4 2015)
- Clarifying the relationship between home and host supervisory authorities
- Joint opinion on the risks of ML/TF to the financial sector of the EU

Carolyn Gardner, AML Policy Expert, European Banking Authority

15:15 *Coffee/Tea*

15:45 **Breakout session: Spotlight on source of wealth/funds – balancing the jurisdictional variations in approach**
Jean-Marc Guiteau, Global Head of Compliance, BNP Paribas Securities
Matthew Gamble, Senior Legal Counsel, Financial Services Regulations Bureau, UAE

15:45 **Breakout session: Tax: compliance, evasion, avoidance, confusion: international initiatives including the OECD CRS and new EC Tax Action Plan – impact on your operations**

- The changing international tax landscape – the new global initiatives
- OECD CRS and the new EC Tax Action Plan – implications for the industry
- Strengthening your onshore / offshore policy and practices – the challenges and practical solutions

Jobst Neuss, Head of Compliance and Operational Risk, European Investment Fund

15:45 **Breakout session: High value dealers, real estate & trust & company service providers' hotspot: key AML issues and concerns – step-up in regulatory oversight**
Andrew Le Brun, Director, Financial Crime Policy, Jersey Financial Services Commission

16:30 **Europol on the changing threats from organised crime**
Tamara Schotte, Project Manager Strategic Analysis, Serious and Organised Crime Department, Europol

17:10 **Technology update – the latest AML/CTF & financial crime tools**
Neil Farquhar, Compliance Product Manager, Bureau van Dijk
Ed Lloyd, Vice President, Head of Sales EMEA/APAC, Alacra
Jan Hagen, Director - Client Solutions, Risk, Thomson Reuters
TBC - Oracle

17:55 *Chair's Closing Remarks*

18:00 *Cocktail Reception - courtesy of Platinum Sponsor Thomson Reuters: [click here](#)*

19:00 *Banquet Dinner - courtesy of Lead Sponsor Bureau van Dijk: [click here](#)*

21:00 **Close of Day 1**

Day 2 – Tuesday, 27 October 2015

- 08:15** *Breakfast- courtesy of Gold Sponsor Fircosoft: [click here](#)*
- 09:00** *Chair's Opening Address*
- 09:10** **US new National ML/TF Risk Assessment & Strategy – impact on Europe**
Dan McWilliams, Attaché, Criminal Investigations, Department of Treasury, US IRS
Valerie-Leila Jaber, Director, Global Financial Crime Compliance Head for Asset Management, JP Morgan Chase
- 10:00** *Breakout session: Evolution in CDD & KYC – efficient response to enhanced regulatory obligations*
Jan Bjorkman, Group AML Officer, SEB Bank
Simon Wilkin, Head of Financial Crime Group & MLRO, Sumitomo Mitsui Banking Corporation
- 10:00** *Breakout session: Virtual currencies - their advantages, ML/TF risks and future outlook*
Chris Bostock, Operation Manager, NCA's Economic Crime Command
John Beccia, General Counsel & Chief Compliance Officer, Circle Bitcoin
Michael Zeldin, Special Counsel BuckleySandler & Attorney, US Department of Justice
- 10:00** *Breakout session: Gambling hotspot – rising to the challenges of new regulations and guidance*
Michael Mrak, Head of Department of Corporate Governance Coordination, Compliance, Casino Austria
- 10:45** *Morning Tea/Coffee*
- 11:15** *Breakout session: Local implementation of AML/CTF international standards of regulation – Middle East focus*
Matthew Gamble, Senior Legal Counsel, Financial Services Regulations Bureau, UAE
David Hotte, AML/CFT Team Leader, CIVIPOL, European Commission
- 11:15** *Breakout session: Countering human trafficking in the private sector – raising standards*
 - The new UK Modern Slavery Act 2015
 - Customer and financial transactions that may present risk – indicators and red flags**Barry Koch**, SVP, Chief Compliance Officer, Western Union
Phil Brewer, Detective Chief Inspector, Trafficking & Kidnap Unit, Metropolitan Police
Tamara Schotte, Project Manager Strategic Analysis, Serious and Organised Crime Department, Europol
- 11:15** *Breakout session: Insurance hotspot - building up your financial crime compliance programme – towards a more effective approach*
Keith Falconer, Head of Risk & Compliance, Standard Life Investments
- 12:00** **The new EU General Data Protection Regulation – key points for AML, tax evasion & cross-border data sharing**
 - How Member States and the industry can prepare for practical implementation
 - Ensuring the inter-operability of the new EU rules with privacy frameworks worldwide
 - Key points for AML and tax evasion**Fabio Polverino**, Legal Officer, Policy & Consulting, EU Data Protection Supervisor
- 12:35** **Developing an effective & risk-based AML & financial crime programme**
 - Key vulnerabilities and forward-looking areas of focus
 - Integrating multiple regulatory expectations – the increased divergence in approach
 - Defining business risk tolerance
 - Integrity, values and compliance
 - The new requirements including 4MLD**Geraldine Lawlor**, Global Head of Financial Crime, Barclays Bank
Mirka Gastaldello, Head of Compliance & UK MLRO, Rabobank International
Mark Ashdown, Compliance Director, Moneygram
- 13:30** *Lunch - courtesy of Gold Sponsor Alacra: [click here](#)*
- 14:15** **Economic sanctions update – addressing the dynamic challenges**
TBC – European Commission
Jan Bjorkman, Group AML Officer, SEB Bank
Markus Schulz, Global Head FCC Controls, Group Financial Crime Compliance, Standard Chartered Bank
- 15:00** **Law enforcement panel - FIU co-operation successes against transnational crime**
 - Types of suspected illegal conduct that are investigated and countries that request them
 - Increasing focus on financial crime crossovers
 - The effectiveness of SARs reporting
 - Enhancing feedback on SARs to the industry
 - How effective is cross-border cooperation?**Alvaro Pinilla**, Head of International, SEPBLAC (Spanish FIU)
Daniel Thelesklaf, Director, FIU Liechtenstein & Chair of the Egmont Training Group
Dan McWilliams, Attaché, Criminal Investigations, Department of Treasury, US IRS
- 15:50** *Chair's Closing Remarks*
- 16:00** **End of Conference**

Welcome to AMLP 9th Annual European AML & Financial Crime Conference

About US

The AMLP Forum provides a vibrant arena for the exchange of knowledge, experience and best practice. The AMLP fosters participation of AML and corruption professionals, both from within and outside financial services and other regulated industries in the UK and internationally. For more information please visit www.amlpforum.com.

9th Annual European AML & Financial Crime Conference

This event brings together leading stakeholders to examine the latest strategies and practical measures to combat ML/TF & corruption. It provides an excellent opportunity to learn about recent developments, best implementation practices, and share knowledge and solutions with professionals from UK, Europe and further afield.

Format

The conference will be a mixture of lively lecture-style presentations and interactive sessions.

European Conference - Monday, 26 October, 13:00 – 18:00 and Tuesday, 27 October 2015, 09:00-16:00

- Member fee: GBP £597.00 + VAT
- Non-member fee: GBP £697.00 + VAT

Your delegate place includes: a full pack of presentations by more than 20 international experts, breakfast, lunch on both days, refreshments and an invitation to the drinks reception on the first day.

First night dinner

Day one will be followed by a banquet dinner in the distinguished Livery Dining Hall of the Merchant Taylors' Hall.

- Dinner fee: GBP £70.00 + VAT

Pre-Conference ½-Day masterclasses (each stream bookable separately)

Monday, 26 October 2015, 09:00 – 10:30

Stream A - **Mitigating the ML/TF and sanctions risks of trade finance**

Stream B - **Tax compliance: a focus on OECD CRS – addressing the implementation challenges**

- Member fee: GBP £198.00 +VAT
- Non-member fee: GBP £238.00 +VAT

Monday, 26 October 2015, 11:00 – 12:15

Stream A - **Transactions monitoring – managing multiple agendas**

Stream B - **Building up an effective risk assessment under the risk-based approach**

- Member fee: GBP £198.00 +VAT
- Non-member fee: GBP £238.00 +VAT

Your delegate place includes: presentations on memory sticks and working material handouts, breakfast and refreshments.

Discount offers

- Member fee applies for Academic, Government and Charity delegates
- Bring 2 or more delegates – receive a 10% discount
- Book both the conference and masterclass – receive a 10% discount
- Book before 24 August 2015 – receive a 10% discount

Discount offers cannot be combined.

Registration

To guarantee your place, please complete and return the conference registration form which may be found at <http://www.amlpforum.com/euconference/registration/>. Alternatively, please contact Aleksandra on events@amlpforum.com and/or +44 20 8785 0220 for more information.

Accommodation

For more information and to make a room reservation, please visit the event website page: <http://www.amlpforum.com/euconference/accommodation/>.

We look forward to seeing you there!